

# UV constraints on IR modifications of gravity

Kurt Hinterbichler (Case Western)

Berkeley, April 16, 2019

Arxiv: 1708.05716, 1804.08686, 1903.09643

w/ James Bonifacio, Austin Joyce, Rachel Rosen

# The Cosmological constant:

Given the matter (and the dark matter) we know about, Einstein's equations aren't satisfied:

$$R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} \neq \frac{1}{M_P^2}T_{\mu\nu}$$

observed expansion history

observed mass/energy  
(including dark matter)

Easy to fix:

Alter left hand side:

$$R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} + \Lambda g_{\mu\nu} = \frac{1}{m_P^2}T_{\mu\nu} \quad \text{“Cosmological constant”}$$

Or alter right hand side:

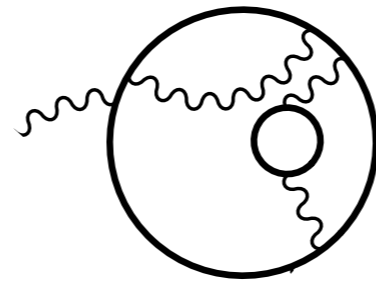
$$R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} = \frac{1}{m_P^2} [T_{\mu\nu} - m_P^2 \Lambda g_{\mu\nu}] \quad \text{“Mysterious dark energy”}$$

# Contributions to Lambda are expected

- Phase transitions:  $\Lambda_{\text{phase}} \sim \Delta V / M_P^2$



- Vacuum energy of quantum fields:



$$\Rightarrow \Lambda_{\text{quantum}} \sim \frac{m_{\text{particle}}^4}{M_P^2}$$

calculable contributions

- Bare CC:  $\mathcal{L}_{UV} \sim M_P^2 \int d^4x \sqrt{-g} \Lambda_{\text{Bare}} + \dots$

un-known contribution

Observed Cosmological Constant is the sum of everything:

$$\Lambda_{\text{observed}} = \Lambda_{\text{Bare}} + \Lambda_{\text{quantum}} + \Lambda_{\text{phase}} + \dots$$

# The cosmological constant problem

$$\frac{\Lambda}{M_P^2} \sim 10^{-122}$$

**really small**

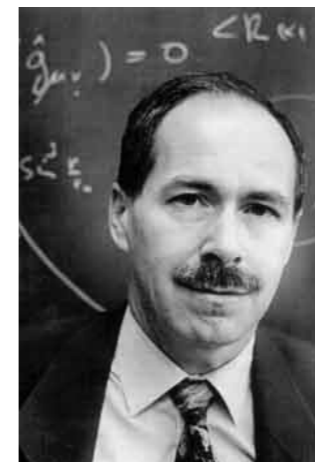
$$\Lambda_{\text{observed}} = \Lambda_{\text{UV}} + \Lambda_{\text{known physics}} + \Lambda_{\text{phase transitions}} + \dots$$

- The cosmological constant is not calculable (relevant operator, sensitive to unknown UV physics)
- Its observed value is small (not *natural*)
- A small value is unstable under deformations of UV physics (not *technically natural*)



Paul Dirac

"not natural."



Gerard 't Hooft

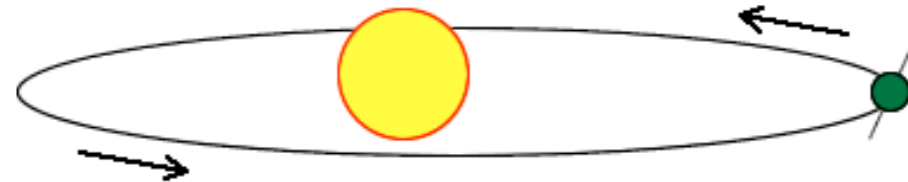
"not technically natural."

# Possibilities

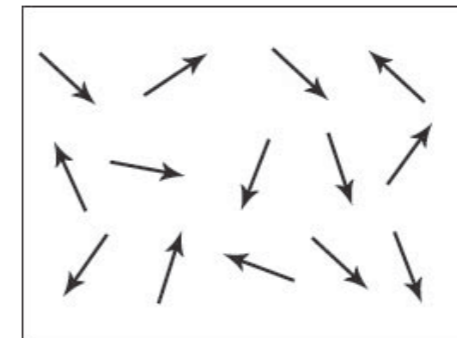
- Sheer luck/no explanation



- Anthropic

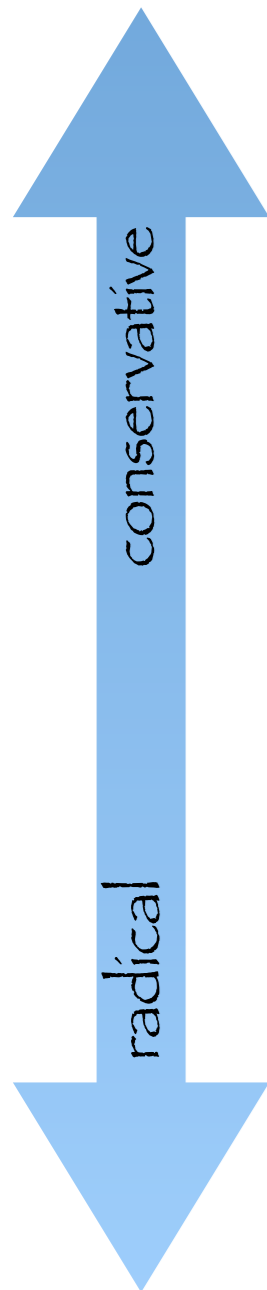


- Modified or additional dynamics (new DOF)



- Calculation wrong (the rules of effective quantum field theory are not what we think they are on very large scales)

$$2 + 2 = 5$$



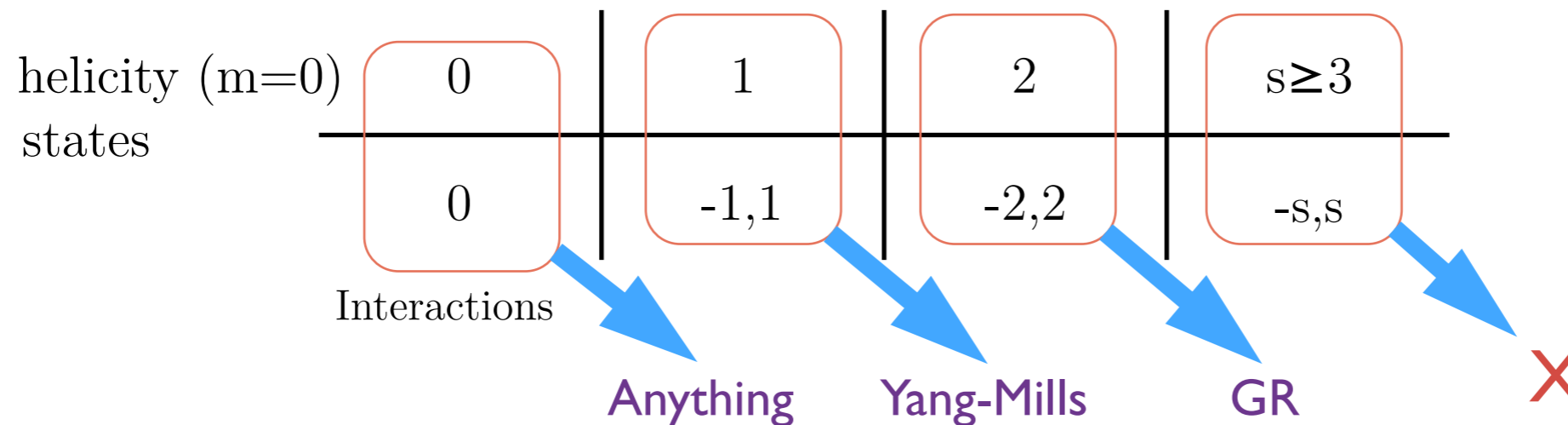
# Lorentz invariance: degrees of freedom & interactions

- Basic principles: Locality, Lorentz-Invariance  $\Rightarrow$



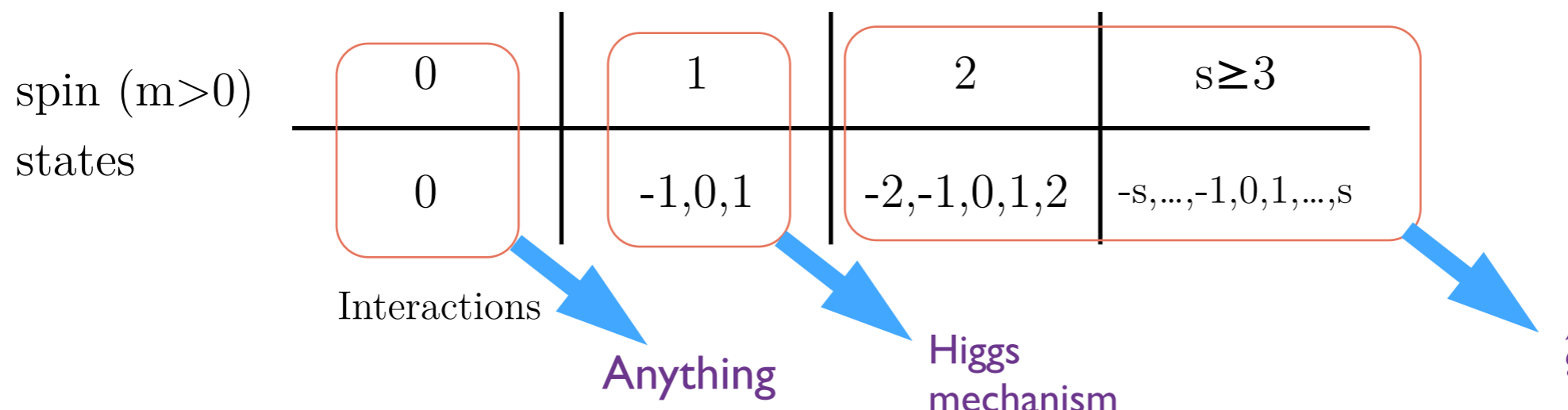
Eugene Wigner

degrees of freedom are classified by mass and spin/helicity

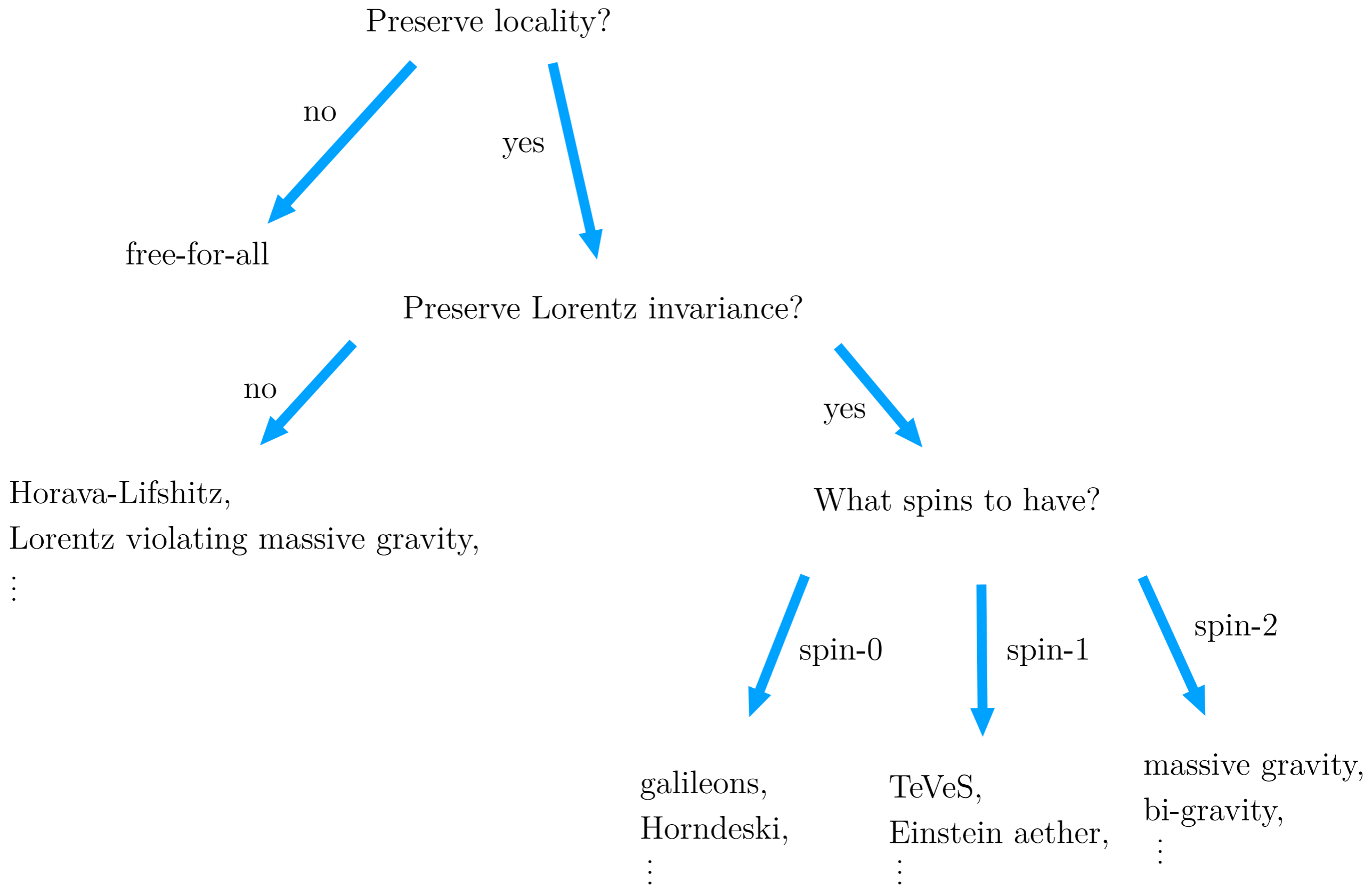


Theorems:

- Yang-mills is the only way for helicity-1's to interact at low energies
- GR is the only way for helicity-2 to self-interact at low energies
- Helicity  $\geq 3$  can't interact at low energies



# Classes of models



# Scalars

Minimal new stuff: a single cosmologically relevant scalar



# Horndeski theory

Gregory Horndeski (1974)

Deffayet, Deser, Esposito-Farese (2009)

Most general scalar tensor theory with 2nd order equations of motion

Non-linear EFT parametrization of a single degree of freedom

$$\mathcal{L}_2 = \sqrt{-g} G_2(\phi, X) \leftarrow \text{arbitrary functions of } \phi, X \equiv -\frac{1}{2}(\partial\phi)^2$$

$$\mathcal{L}_3 = \sqrt{-g} G_3(\phi, X) \square\phi$$

$$\mathcal{L}_4 = G_4(X)R + G_{4,X}(X) [(\square\phi)^2 - (\nabla_\mu\nabla_\nu\phi)^2]$$

$$\mathcal{L}_5 = \sqrt{-g} \left[ -\frac{1}{6} G_{5,X}(\phi, X) [(\square\phi)^3 - 3\square\phi(\nabla_\mu\nabla_\nu\phi)^2 + 2(\nabla_\mu\nabla_\nu\phi)^3] + G_5(\phi, X) \nabla^\mu\nabla^\nu\phi G_{\mu\nu} \right]$$

Similar Lagrangians exist with multiple scalars, vectors, etc.

# Horndeski theory

*International Journal of Theoretical Physics*, Vol. 10, No. 6 (1974), pp. 363–384

## Second-Order Scalar-Tensor Field Equations in a Four-Dimensional Space

GREGORY WALTER HORNDESKI

*Department of Applied Mathematics, University of Waterloo, Waterloo, Ontario,  
Canada*

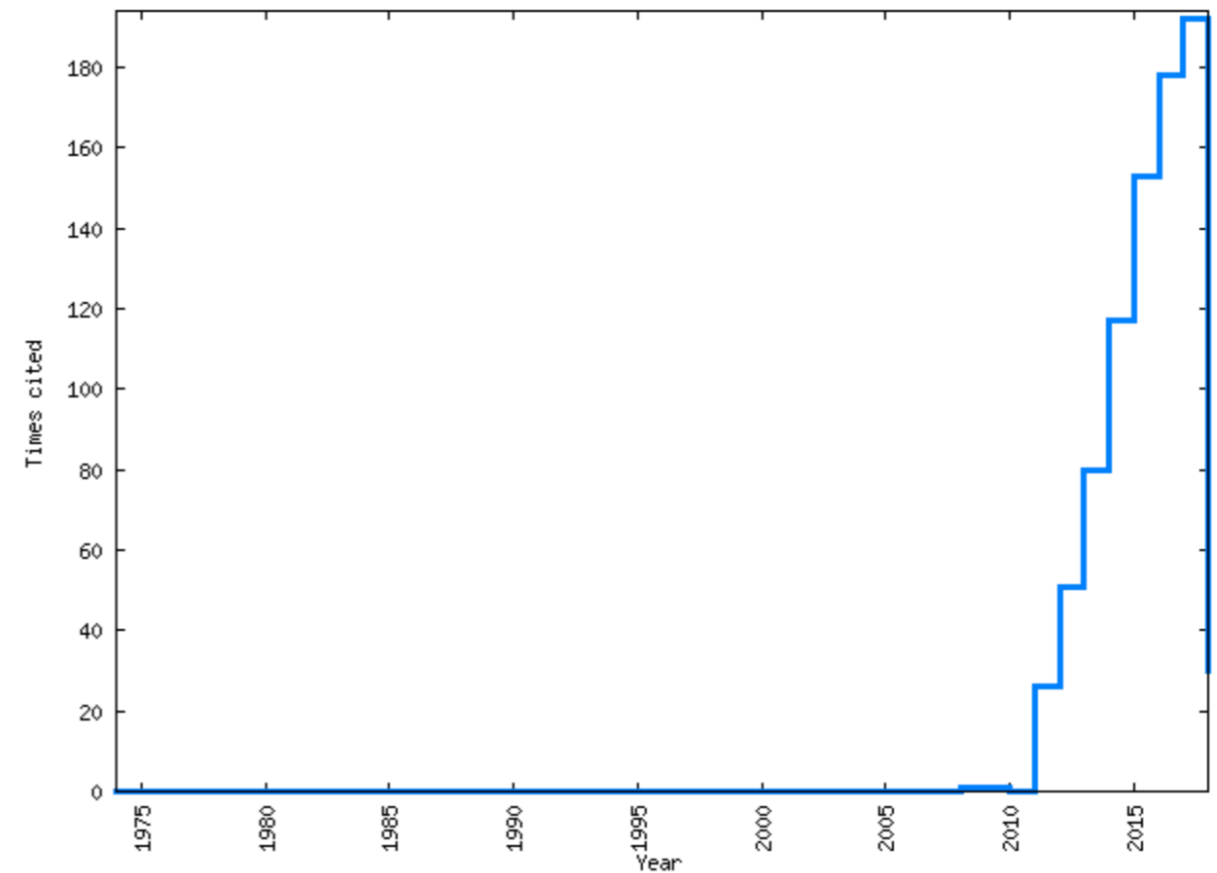
*Received: 10 July 1973*

### *Abstract*

Lagrange scalar densities which are concomitants of a pseudo-Riemannian metric-tensor, a scalar field and their derivatives of arbitrary order are considered. The most general second-order Euler-Lagrange tensors derivable from such a Lagrangian in a four-dimensional space are constructed, and it is shown that these Euler-Lagrange tensors may be obtained from a Lagrangian which is at most of second order in the derivatives of the field functions.

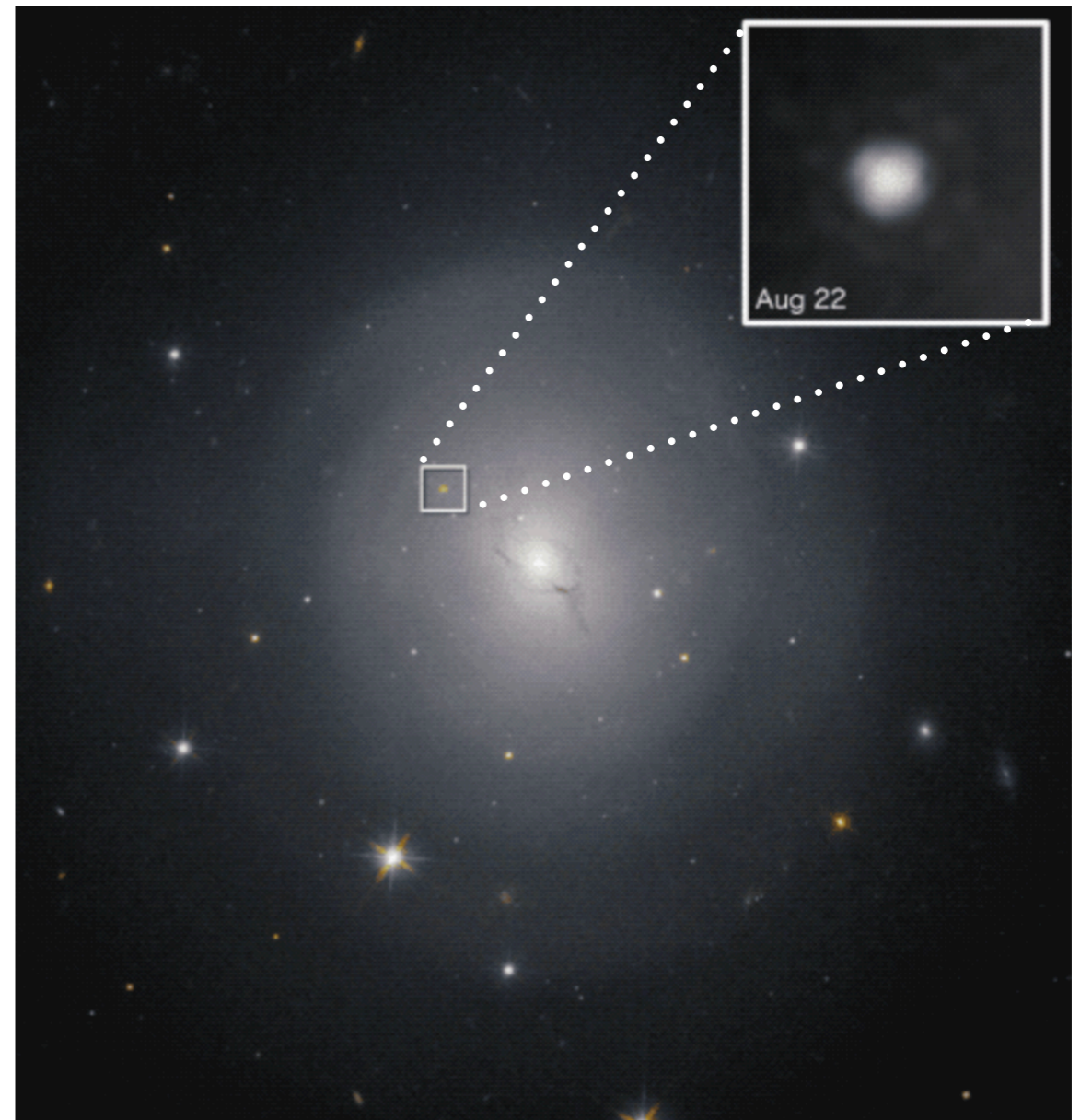
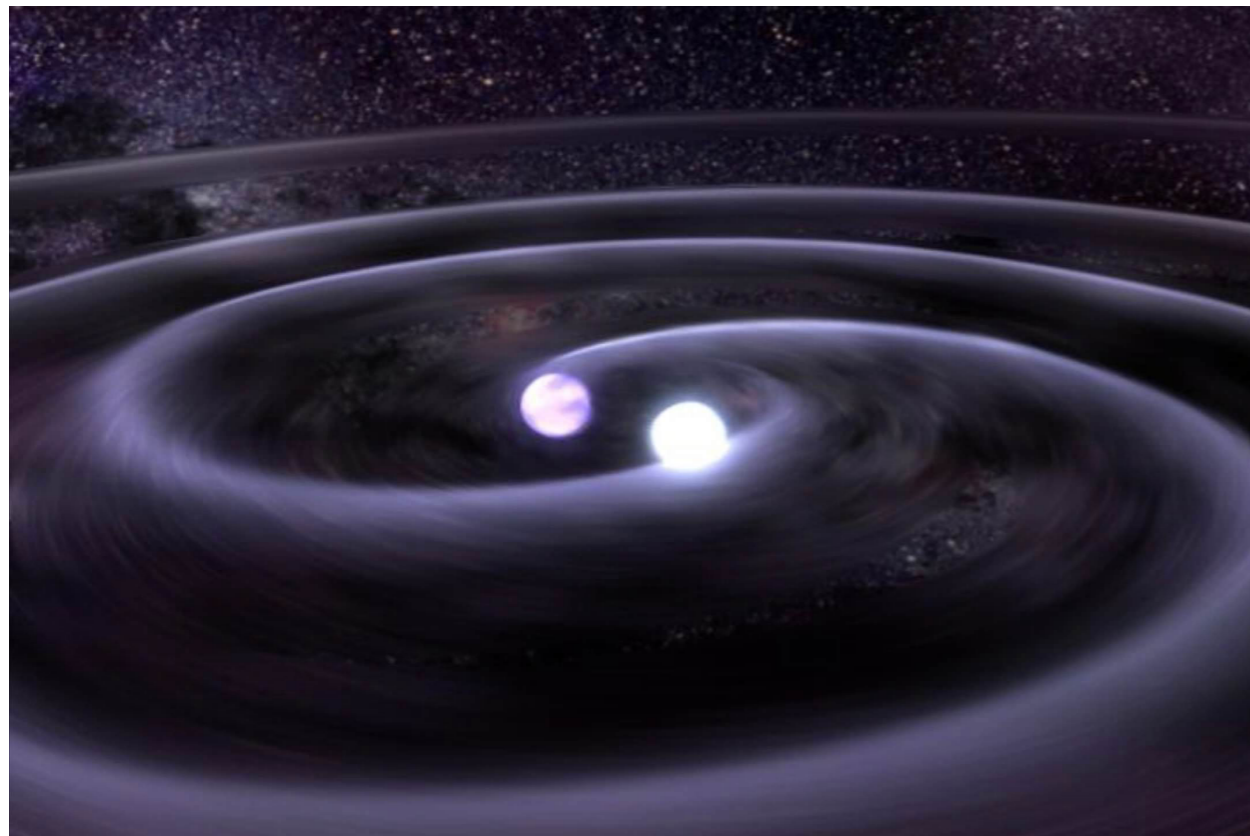
### *1. Introduction*

Our considerations will be based upon a real, four-dimensional,  $C^\infty$  differentiable manifold  $M$ . It will be assumed that all field functions are defined globally; however, our work will be of a purely local nature. By a



# Graviton propagation speed: GW170817/GRB170817A

Binary neutron star merger



galaxy NGC 4993  
~ 40 Mpc distance

# GW170817/GRB170817A

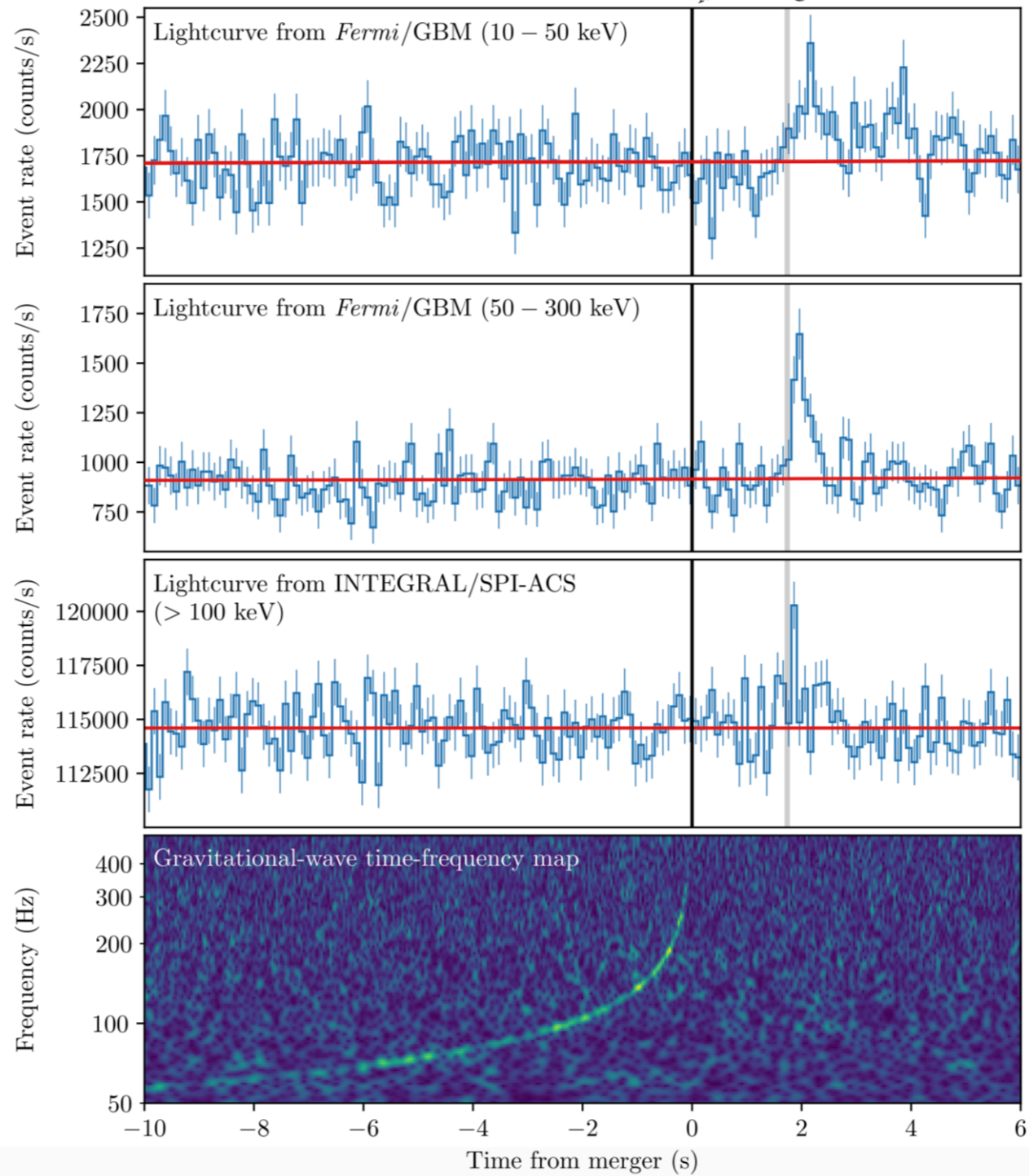
August 17, 2017 12:41:04 UTC

$\Delta t \sim 1.7$  s

Merger GRB start

light →

Gravity waves →

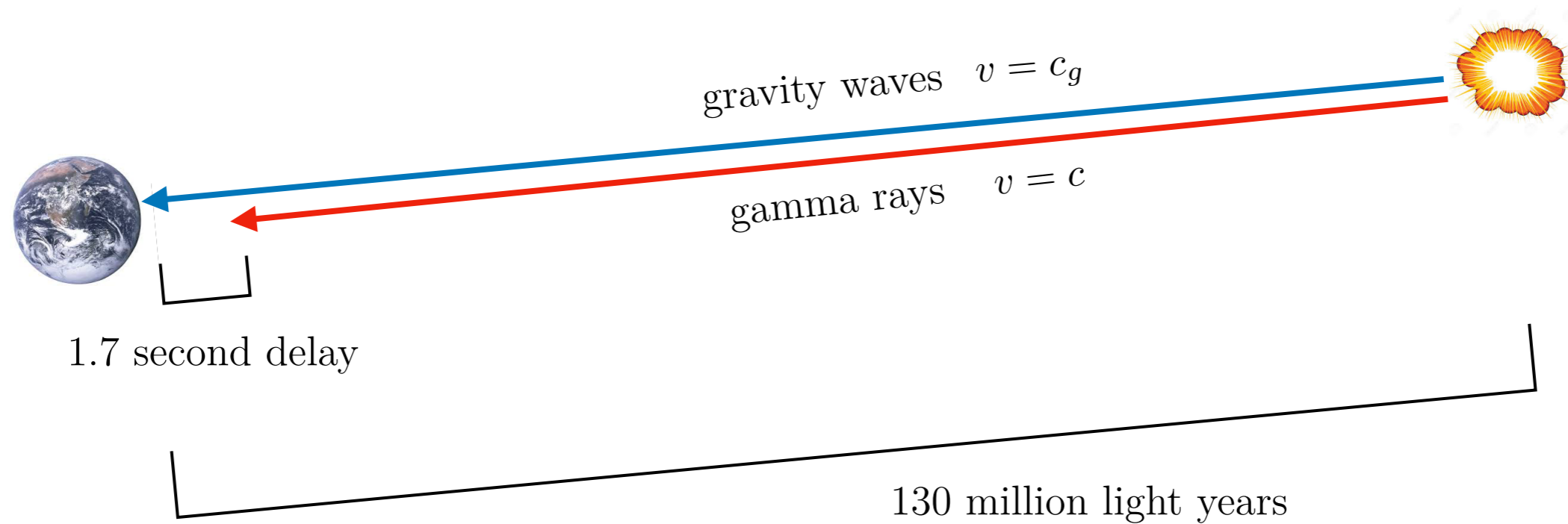


# Constraint on the speed of gravity

Bettoni, Ezquiaga, KH, Zumalacárregui 1608.01982

Ezquiaga, Zumalacarregui 1710.05901

Creminelli, Vernizzi 1710.05877



$\Delta t \lesssim 1.7 \text{ s}$  over a distance 40 Mpc



$$\left| \frac{c_g}{c} - 1 \right| \lesssim 10^{-15}$$

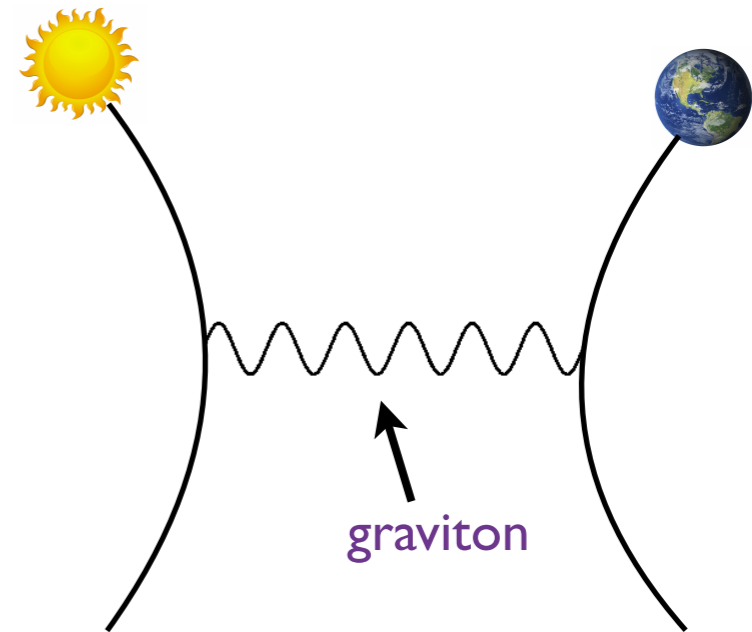
## Constraints:

certain parameters and VEVs  $\lesssim 10^{-15}$  (caveats: de Rham, Melville 1806.09417)

single scalar not completely ruled out

Spin-2: massive gravity

# Massive gravity: what about the massive spin-2 representation?



Maybe gravity is mediated by a *massive* particle:

Massive particle obeys the Klein Gordon equation:

$$(\square - m^2) = 0$$

Solution gives a Yukawa potential:

$$V(r) \sim \frac{M}{M_P^2} \frac{1}{r} e^{-\overset{\text{IR modification scale}}{\downarrow} mr}, \quad m \sim H$$

Extra DOF: 5 massive spin states as opposed to 2 helicity states

# Massive graviton: linear theory

Massive spin 2 particle: 5 degrees of freedom (as opposed to 2 for massless helicity 2)

Fierz-Pauli action:

Fierz, Pauli (1939)

$$\mathcal{L} = \left[ -\frac{1}{2} \partial_\lambda h_{\mu\nu} \partial^\lambda h^{\mu\nu} + \partial_\mu h_{\nu\lambda} \partial^\nu h^{\mu\lambda} - \partial_\mu h^{\mu\nu} \partial_\nu h + \frac{1}{2} \partial_\lambda h \partial^\lambda h \right] - \frac{1}{2} m^2 (h_{\mu\nu} h^{\mu\nu} - h^2) + \frac{1}{M_P} h_{\mu\nu} T^{\mu\nu}$$

Einstein-Hilbert (massless) part.

Gauge symmetry:  $\delta h_{\mu\nu} = \partial_\mu \xi_\nu + \partial_\nu \xi_\mu$

Mass term breaks gauge symmetry.

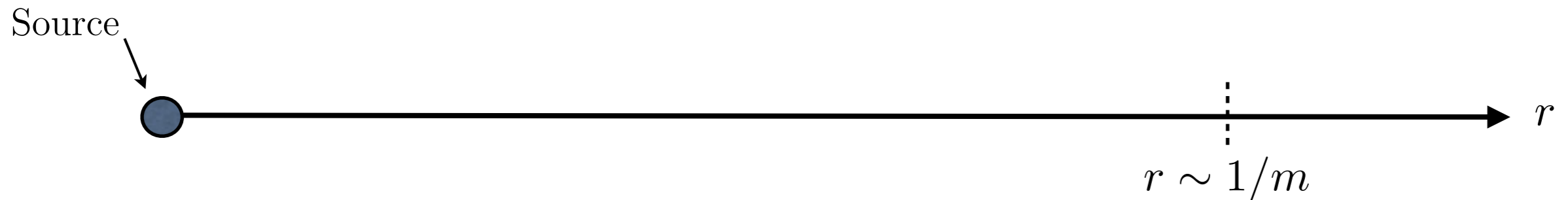
Fierz-Pauli tuning ensures 5 D.O.F.

Equations of motion:  $(\square - m^2)h_{\mu\nu} = 0, \quad \partial^\mu h_{\mu\nu} = 0, \quad h = 0$



# Linear solutions around sources

Newtonian Potential:  $\phi_N = -\frac{4}{3} \frac{GM}{r} e^{-mr}$



Massless gravity vs. massless limit of massive gravity: the *vDVZ discontinuity*

van Dam, Veltman,  
Zakharov (1970)

	$m \rightarrow 0$	$m = 0$
Newtonian potential	$\phi_N = -\frac{4}{3} \frac{GM}{r}$	$\phi_N = -\frac{GM}{r}$
Light bending angle (at impact parameter $b$ )	$\alpha = \frac{4GM}{b}$	$\alpha = \frac{4GM}{b}$

# Helicity components

Introduce fields for each helicity component:

$$h_{\mu\nu} \rightarrow h_{\mu\nu} + \partial_\mu A_\nu + \partial_\nu A_\mu + 2 \partial_\mu \partial_\nu \phi$$

$$\begin{array}{l}
 h_{\mu\nu} \\
 5 \text{ DOF}
 \end{array}
 \xrightarrow[\text{relativistic limit } m \rightarrow 0]{\text{purple arrow}}
 \left\{ \begin{array}{ll}
 h_{\mu\nu} \sim \text{helicity } \pm 2 & 2 \text{ DOF} \\
 A_\mu \sim \text{helicity } \pm 1 & 2 \text{ DOF} \\
 \phi \sim \text{helicity } 0 & 1 \text{ DOF}
 \end{array} \right.$$

This is the vDVZ discontinuity:  
scalar fifth force

$$\mathcal{L}_{m=0}(h') = \frac{1}{2} \hat{F}_{\mu\nu} \hat{F}^{\mu\nu} - 3 \partial_\mu \hat{\phi} \partial^\mu \hat{\phi} + \frac{1}{M_P} h'_{\mu\nu} T^{\mu\nu} + \frac{1}{M_P} \hat{\phi} T$$

↑

helicity-2

↑

helicity-1

↑

helicity-0

↓

scalar fifth force

# Interaction terms

Effective field theory philosophy: write every possible term parametrized by arbitrary coefficients

$$\frac{M_P^2}{2} \int d^4x \left[ (\sqrt{-g}R) - \sqrt{-g} \frac{1}{4} m^2 V(g, h) \right],$$

$$V(g, h) = V_2(g, h) + V_3(g, h) + V_4(g, h) + V_5(g, h) + \dots,$$

$$V_2(g, h) = \langle h^2 \rangle - \langle h \rangle^2,$$

$$V_3(g, h) = +c_1 \langle h^3 \rangle + c_2 \langle h^2 \rangle \langle h \rangle + c_3 \langle h \rangle^3,$$

$$V_4(g, h) = +d_1 \langle h^4 \rangle + d_2 \langle h^3 \rangle \langle h \rangle + d_3 \langle h^2 \rangle^2 + d_4 \langle h^2 \rangle \langle h \rangle^2 + d_5 \langle h \rangle^4,$$

$$V_5(g, h) = +f_1 \langle h^5 \rangle + f_2 \langle h^4 \rangle \langle h \rangle + f_3 \langle h^3 \rangle \langle h \rangle^2 + f_4 \langle h^3 \rangle \langle h^2 \rangle + f_5 \langle h^2 \rangle^2 \langle h \rangle \\ + f_6 \langle h^2 \rangle \langle h \rangle^3 + f_7 \langle h \rangle^5,$$

⋮

# The effective field theory

Arkani-Hamed, Georgi and Schwartz (2003)  
 Creminelli, Nicolis, Pappuchi, Trincherini (2005)  
 de Rham, Gabadadze (2010)

After replacement  $h_{\mu\nu} \rightarrow h_{\mu\nu} + \partial_\mu A_\nu + \partial_\nu A_\mu + 2\partial_\mu\partial_\nu\phi + \dots$  there are interaction terms:

$$m^2 M_P^2 h^{n_h} (\partial A)^{n_A} (\partial^2 \phi)^{n_\phi} \sim \Lambda_\lambda^{4-n_h-2n_A-3n_\phi} \hat{h}^{n_h} (\partial \hat{A})^{n_A} (\partial^2 \hat{\phi})^{n_\phi}$$

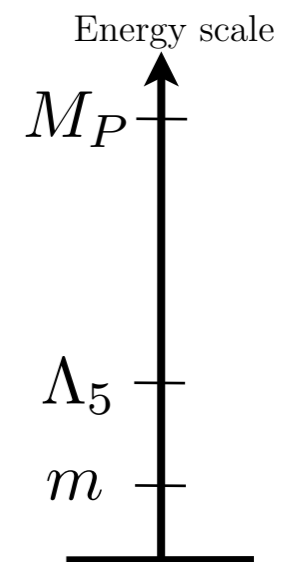
Various strong coupling scales:  
 The larger  $\lambda$ , the smaller the scale

$$\Lambda_\lambda = (M_P m^{\lambda-1})^{1/\lambda}, \quad \lambda = \frac{3n_\phi + 2n_A + n_h - 4}{n_\phi + n_A + n_h - 2}$$

The smallest scale is carried by a cubic scalar interaction:

$$\sim \frac{(\partial^2 \hat{\phi})^3}{\Lambda_5^5}, \quad \Lambda_5 = (M_P m^4)^{1/5}$$

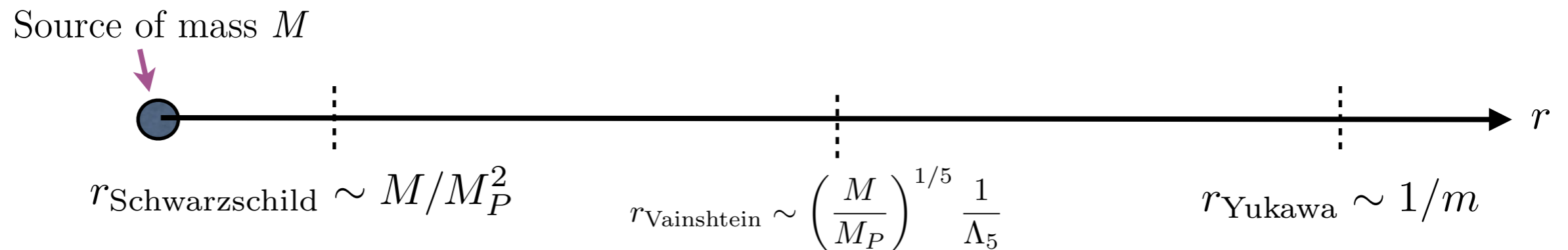
This is the (UV) strong coupling scale of the theory



# The effective field theory

$$\sim \frac{(\partial^2 \hat{\phi})^3}{\Lambda_5^5}, \quad \Lambda_5 = (M_P m^4)^{1/5}$$

- Scalar self-interactions responsible for Vainshtein radius:



- Non-linearities restore continuity with GR (Vainshtein mechanism) Vainshtein (1972)
- Scalar self-interactions display the *Boulware-Deser ghost* Boulware, Deser (1972)  
Deffayet, Rombouts (2005)

# The $\Lambda_3$ theory

Can choose the interactions, order by order in  $h$ , so that the scalar self-interactions appear in total derivative combinations.

Arkani-Hamed, Georgi and Schwartz (2003)  
Creminelli, Nicolis, Pappuchi, Trincherini (2005)  
de Rham, Gabadadze (2010)

- Two parameter family of ways to do this

Cutoff raised to:  $\Lambda_3 \sim (M_P m^2)^{1/3}$

Longitudinal mode is described by Galileon interactions:

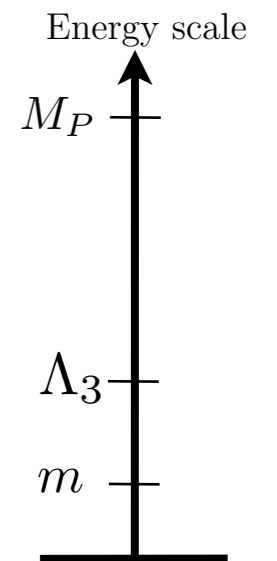
$$\mathcal{L}_2 = -\frac{1}{2}(\partial\phi)^2 ,$$

$$\mathcal{L}_3 = -\frac{1}{2}(\partial\phi)^2[\Pi] ,$$

$$\mathcal{L}_4 = -\frac{1}{2}(\partial\phi)^2 ([\Pi]^2 - [\Pi^2]) ,$$

$$\mathcal{L}_5 = -\frac{1}{2}(\partial\phi)^2 ([\Pi]^3 - 3[\Pi][\Pi^2] + 2[\Pi^3])$$

↑  
( $\Pi_{\mu\nu} \equiv \partial_\mu \partial_\nu \phi$ )



# dRGT massive gravity

de Rham, Gabadadze, Tolley (2011)

The theory can be re-summed:

$$\frac{M_P^{D-2}}{2} \int d^D x \sqrt{-g} \left[ R - \frac{m^2}{4} \sum_{n=0}^D \beta_n S_n(\sqrt{g^{-1}\eta}) \right]$$

Characteristic Polynomials

$$S_0(M) = 1,$$

$$S_1(M) = [M],$$

$$S_2(M) = \frac{1}{2!} ([M]^2 - [M^2]),$$

$$S_3(M) = \frac{1}{3!} ([M]^3 - 3[M][M^2] + 2[M^3]),$$

⋮

$$S_D(M) = \det M,$$

- Full theory has no Boulware-Deser ghost (propagates 5 DOF non-linearly)

Hassan, Rosen (2011)

de Rham, Gabadadze, Tolley (2011)

KH, Rosen (2012)

+ many others

# Vielbein formulation of ghost-free massive gravity

KH, Rachel Rosen (arXiv:1203.5783)

Or in terms of vierbeins  $g_{\mu\nu} = e_{\mu}^A e_{\nu}^B \eta_{AB}$

$$\frac{M_P^{D-2}}{2} \int d^D x |e| R[e] - m^2 \sum_n a_n \int \epsilon_{A_1 \dots A_D} e^{A_1} \wedge \dots \wedge e^{A_n} \wedge 1^{A_{n+1}} \wedge \dots \wedge 1^{A_n}$$

Ghost-free mass terms are simply all possible ways of wedging a vierbein and background vierbein:

$$\begin{aligned} & \epsilon_{A_1 A_2 A_3 A_4} e^{A_1} \wedge e^{A_2} \wedge e^{A_3} \wedge e^{A_4} \\ & \epsilon_{A_1 A_2 A_3 A_4} e^{A_1} \wedge e^{A_2} \wedge e^{A_3} \wedge 1^{A_4} \\ & \epsilon_{A_1 A_2 A_3 A_4} e^{A_1} \wedge e^{A_2} \wedge 1^{A_3} \wedge 1^{A_4} \\ & \epsilon_{A_1 A_2 A_3 A_4} e^{A_1} \wedge 1^{A_2} \wedge 1^{A_3} \wedge 1^{A_4} \\ & \epsilon_{A_1 A_2 A_3 A_4} 1^{A_1} \wedge 1^{A_2} \wedge 1^{A_3} \wedge 1^{A_4} \end{aligned}$$



# dRGT theory

## CC problem:

- New CC problem {
- Exist self accelerating solutions, in the absence of a CC (acceleration is caused by graviton mass  $m \sim H$ )  
de Rham, Gabadadze, Heisenberg, Pirtskhalava (2010)  
Gumrukcuoglu, Lin, Mukohyama (2011)
  - A small graviton mass is protected from large quantum corrections (diff invariance restored as  $m \rightarrow 0$ )  
de Rham, Heisenberg, Ribeiro (2013)
- Old CC problem {
- More difficult (need screening of a large CC, or a new symmetry)  
Dvali, Gabadadze, Shifman (2002)  
Arkani-Hamed, Dimopolous, Dvali Gabadadze (2002)  
Dvali, Hoffman, Khoury (2007)  
de Rham, KH, Rosen, Tolley (2013)

## Phenomenology/new signals:

- Vainshtein mechanism hides 5-th force from experiments, so residual screening effects might be observable

## Graviton mass constraints:

No real constraint from speed of gravity

LIGO  $m_g < 1.2 \times 10^{-22}$  eV

Clusters  $10^{-29}$

Lunar laser ranging  $10^{-32}$

# UV completion

These theories are *effective field theories* (EFT) with a strong coupling scale parametrically larger than the mass:

$$\Lambda \gg m$$

Something has to happen before the scale  $\Lambda$  to complete them:

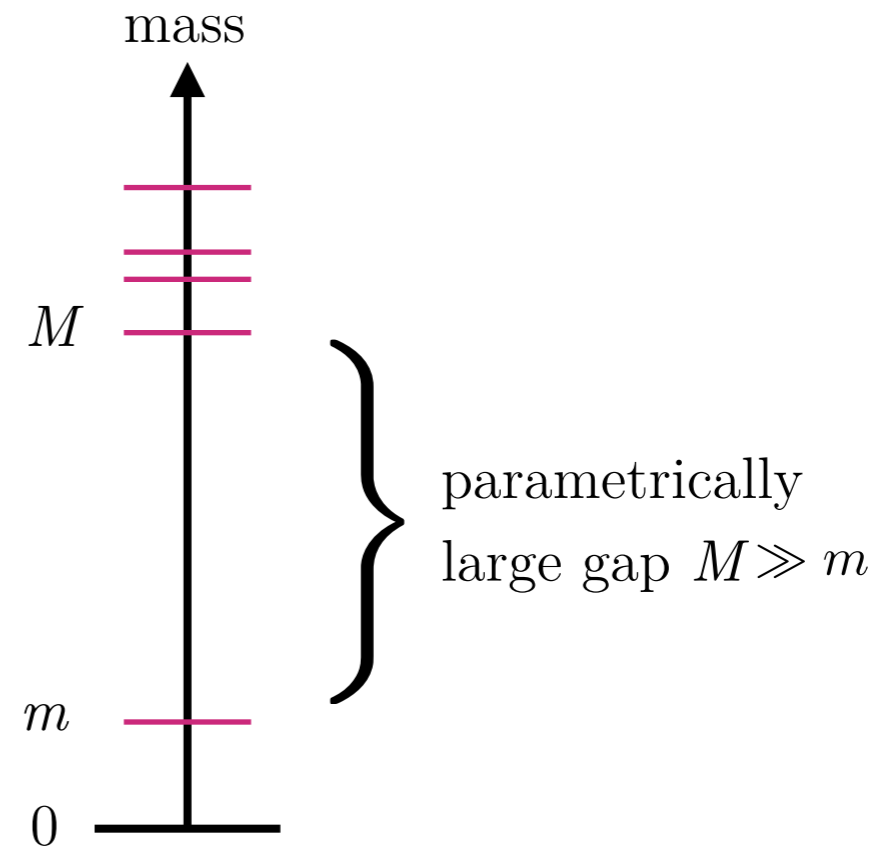
- New particles/degrees of freedom  $\left\{ \begin{array}{l} \text{weakly coupled} \\ \text{strongly coupled} \end{array} \right.$
- Strong coupling effects

Theory that includes these new effects is valid to a higher scale (UV extension or UV completion)

Do such completions exist? (landscape vs. swampland)

# Isolated massive spinning particles?

Is it possible to have a complete theory with a spectrum like this:



Spin 0, 1/2: **Yes** (pseudo Goldstones)

Spin 1, 3/2: **Yes** (spontaneously broken weakly coupled gauge theory/SUGRA)

Spin  $\geq 2$ : ?

# Isolated massive spinning particles?

Can there be “elementary”  
particles with spin  $\geq 2$  ?



Are there high spin hadrons with  
Compton wavelength  $\gg$  intrinsic size ?

Can the graviton have a small  
Hubble-scale mass?

Common lore says **No**: a massive higher spin always comes  
with more states at parametrically the same mass

# Isolated massive spinning particles?

Examples:

Kaluza Klein theory:

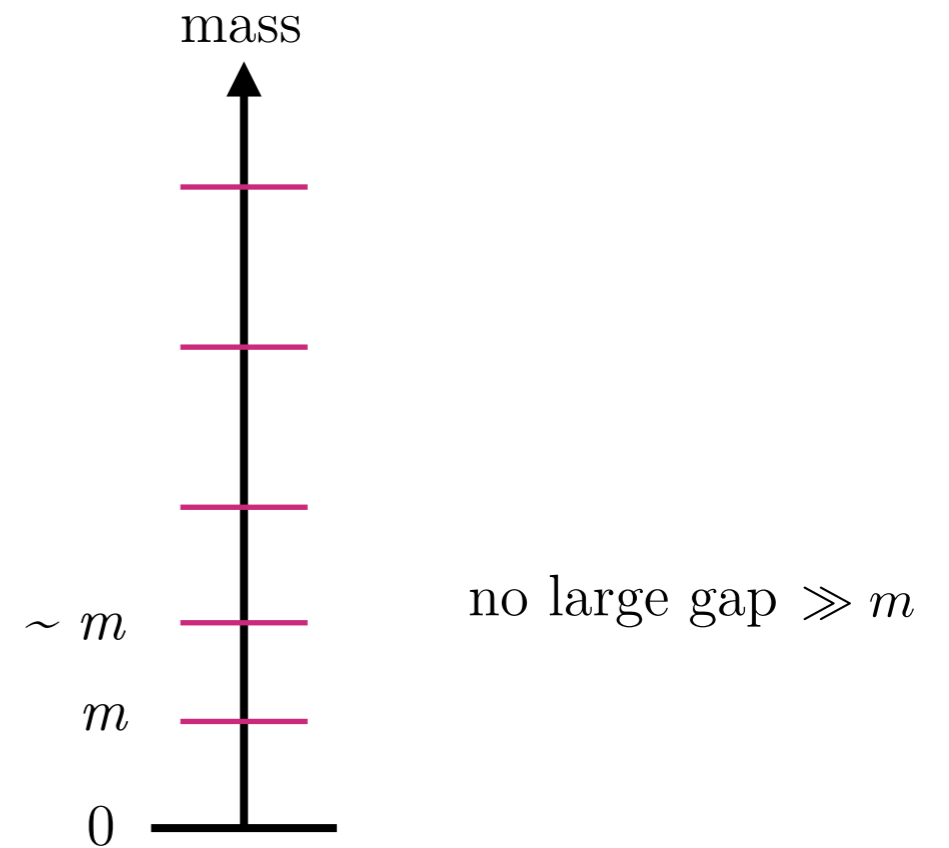
towers of spin  $\leq 2$        $m^2 \sim \lambda_{\text{laplacian}}$

Confining gauge theory

towers of all spins       $m^2 \sim \Lambda_{\text{QCD}}^2$

String theory

towers of all spins       $m^2 \sim \frac{1}{\alpha'}$



# Can we do any better with the cutoff?

KH, James Bonifacio (1804.08686)

Look at observables: amplitudes

strong coupling scale  $\Lambda_3 \sim (M_P m^2)^{1/3}$   $\longleftrightarrow$   $E^6$  growth of amplitude

Is there any way to do better than dRGT's  $E^6$  growth of amplitudes (i.e. raise strong coupling scale further within the EFT)

$$\begin{aligned}\mathcal{L} &\sim (\partial h)^2 + h^2 \\ &\quad + h^3 + \partial^2 h^3 + \partial^4 h^3 + \dots \\ &\quad + h^4 + \partial^2 h^4 + \partial^4 h^4 + \dots \\ &\quad \vdots\end{aligned}$$

Field redefinitions  $\rightarrow$  put fields on shell: transverse, traceless,  $\square \rightarrow -m^2$

Classify all on-shell cubic and quartic vertices

# cubic vertices

Polarization tensors:

$$\epsilon_{\mu_1 \dots \mu_s} \rightarrow z_{\mu_1} z_{\mu_2} \dots z_{\mu_s} \quad , \quad z^2 = 0$$

No on-shell non-trivial functions of momenta:

$$p_1^\mu + p_2^\mu + p_3^\mu = 0 \quad \Rightarrow \quad p_1 \cdot p_2 = \frac{1}{2} (m_1^2 + m_2^2 - m_3^2) \quad , \quad \text{etc.}$$

$$\mathcal{A}_3 \sim z_{12}^{n_{12}} z_{13}^{n_{13}} z_{23}^{n_{23}} z p_{12}^{m_{12}} z p_{23}^{m_{23}} z p_{31}^{m_{31}}$$

$$n_{12} + n_{13} + m_{12} = s_1,$$

$$n_{12} + n_{23} + m_{23} = s_2,$$

$$n_{13} + n_{23} + m_{31} = s_3.$$

Finite number of solutions  $\rightarrow$  On-shell cubic amplitudes nailed down by Lorentz invariance.

# Cubic massive spin-2 vertices

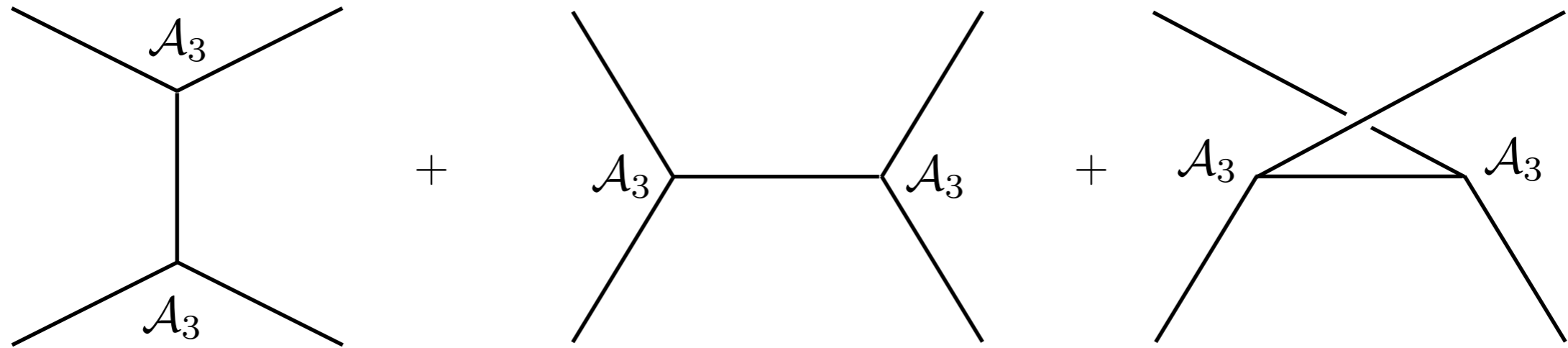
$\mathcal{A}_1$	$z_1 \cdot z_2 \ z_2 \cdot z_3 \ z_3 \cdot z_1$	$h_{\mu\nu}^3$
$\mathcal{A}_2$	$(p_1 \cdot z_3 \ z_1 \cdot z_2 + p_3 \cdot z_2 \ z_1 \cdot z_3 + p_2 \cdot z_1 \ z_2 \cdot z_3)^2$	$\sqrt{-g}R _{(3)}$
$\mathcal{A}_3$	$(p_1 \cdot z_3)^2 (z_1 \cdot z_2)^2 + (p_3 \cdot z_2)^2 (z_1 \cdot z_3)^2 + (p_2 \cdot z_1)^2 (z_2 \cdot z_3)^2$	$\delta_{\nu_1}^{[\mu_1} \delta_{\nu_2}^{\mu_2} \delta_{\nu_3}^{\mu_3} \delta_{\nu_4}^{\mu_4]} \partial_{\mu_1} \partial^{\nu_1} h_{\mu_2}^{\nu_2} h_{\mu_3}^{\nu_3} h_{\mu_4}^{\nu_4}$
$\mathcal{A}_4$	$p_1 \cdot z_3 \ p_2 \cdot z_1 \ p_3 \cdot z_2 \ (p_1 \cdot z_3 \ z_1 \cdot z_2 + p_3 \cdot z_2 \ z_1 \cdot z_3 + p_2 \cdot z_1 \ z_2 \cdot z_3)$	$\sqrt{-g} (R_{\mu\nu\rho\sigma}^2 - 4R_{\mu\nu}^2 + R^2)  _{(3)}$
$\mathcal{A}_5$	$(p_1 \cdot z_3)^2 (p_2 \cdot z_1)^2 (p_3 \cdot z_2)^2$	$\sqrt{-g} R^{\mu\nu}{}_{\rho\sigma} R^{\rho\sigma}{}_{\alpha\beta} R^{\alpha\beta}{}_{\mu\nu}  _{(3)}$

$D=4$ : no  $\mathcal{A}_4$ , 2 additional parity violating amplitudes



# Best possible scaling

Build the exchange Feynman diagrams:



Finite number of cubic vertices  $\rightarrow$  finite number of exchange diagrams  $\rightarrow$   
bounded growth with energy

$$\mathcal{A}_{\text{exchange}} \sim E^\#$$

# Best possible scaling

KH, James Bonifacio (1804.08686)

Classify all analytic quartic amplitudes (contact terms):

2 independent invariants made of momenta (2 Mandelstams)

$$p_{12}^{k_{12}} p_{13}^{k_{13}} z_{12}^{n_{12}} z_{13}^{n_{13}} z_{14}^{n_{14}} z_{23}^{n_{23}} z_{24}^{n_{24}} z_{34}^{n_{34}} z p_{13}^{m_{13}} z p_{14}^{m_{14}} z p_{21}^{m_{21}} z p_{24}^{m_{24}} z p_{31}^{m_{31}} z p_{32}^{m_{32}} z p_{42}^{m_{42}} z p_{43}^{m_{43}}$$

← unconstrained

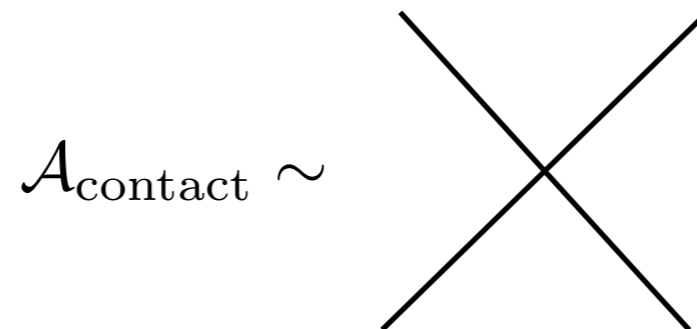
$$n_{12} + n_{13} + n_{14} + m_{13} + m_{14} = s_1,$$

$$n_{12} + n_{23} + n_{24} + m_{21} + m_{24} = s_2,$$

$$n_{13} + n_{23} + n_{34} + m_{31} + m_{32} = s_3,$$

$$n_{14} + n_{24} + n_{34} + m_{42} + m_{43} = s_4.$$

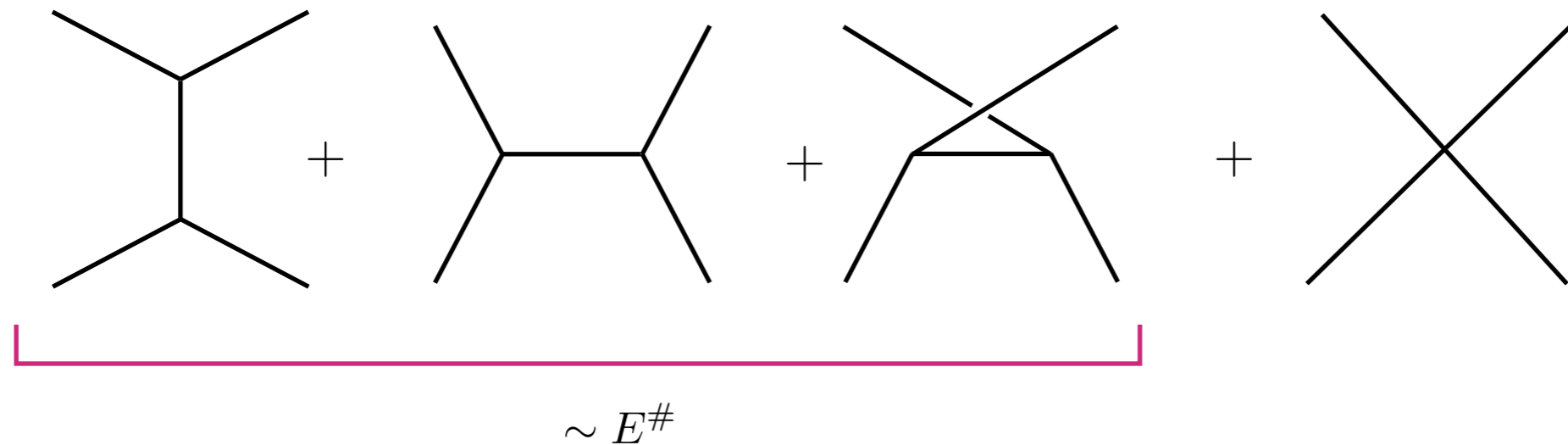
This is the contact Feynman diagram:



# Best possible scaling

Try to cancel off highest energy scaling of exchange diagrams, working down:

$$\mathcal{A}_4 = \mathcal{A}_{\text{exchange}} + \mathcal{A}_{\text{contact}}$$



Result: Best possible scaling is  $E^6$

Only theories that achieve this are dRGT theory and pseudo-linear

KH (1305.7227)

Same is true of bi-gravity: KH, James Bonifacio (1806.10607)

# Best possible scalings for all spins

KH, James Bonifacio (1804.08686)

Best scaling for spin-1:  $E^4$   $\Lambda_2 \sim (M_P m)^{1/2}$

Best scaling for spin-2:  $E^6$   $\Lambda_3 \sim (M_P m^2)^{1/3}$

Conjecture for higher spins:

$$\mathcal{A}_4 \sim \begin{cases} E^{3s} & s \text{ even,} \\ E^{3s+1} & s \text{ odd.} \end{cases}$$

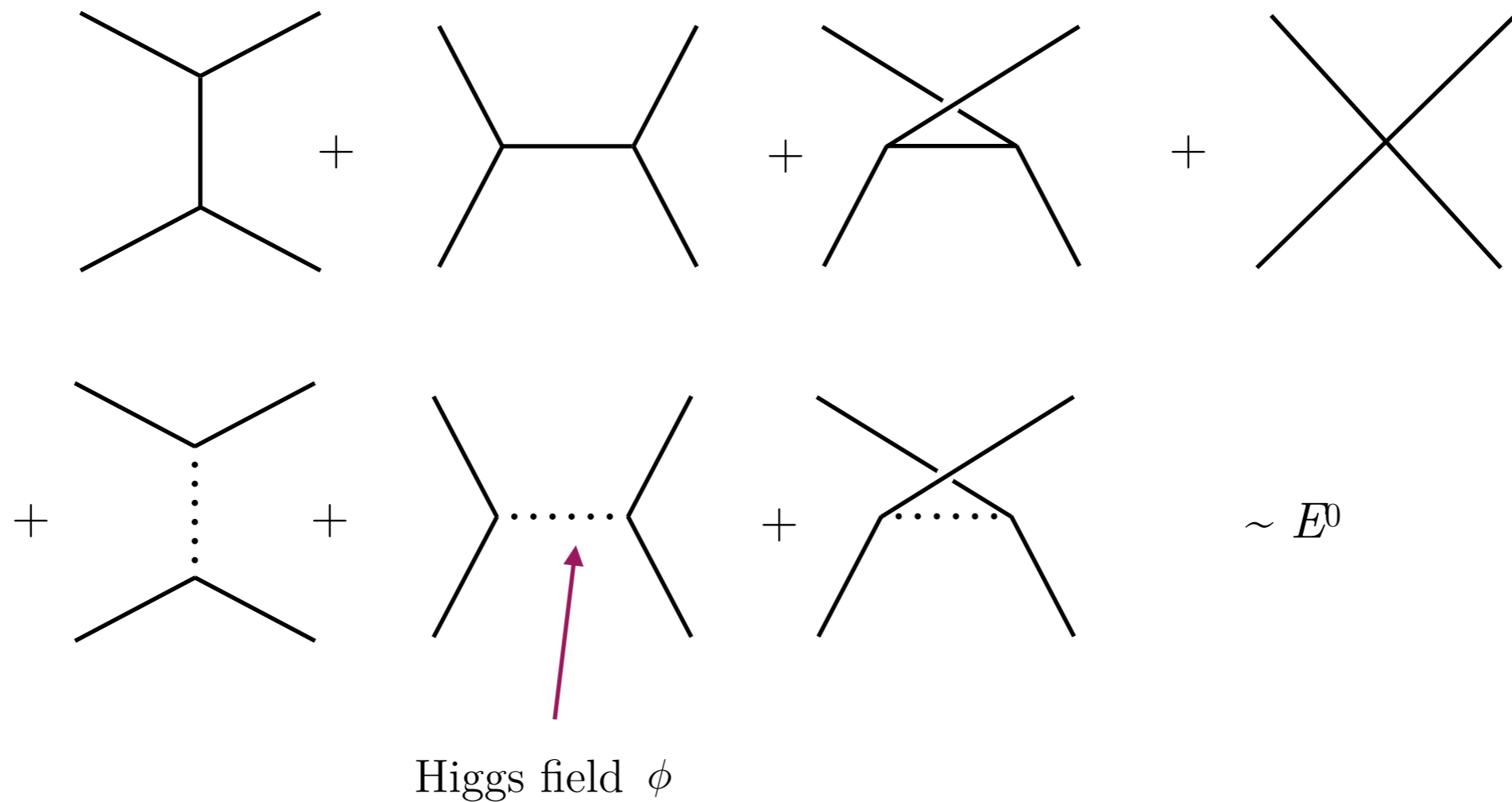
$$\Lambda_{\max} = \begin{cases} \Lambda_{\frac{3s}{2}} & s \text{ even,} \\ \Lambda_{\frac{3s+1}{2}} & s \text{ odd.} \end{cases}$$

$$\Lambda_n \equiv (M_p m^{n-1})^{1/n}$$

# Higgs mechanism

Best scaling for spin-1:  $E^4$        $\Lambda_2 \sim (M_{Pl} m)^{1/2}$

Can this be improved by adding new fields?



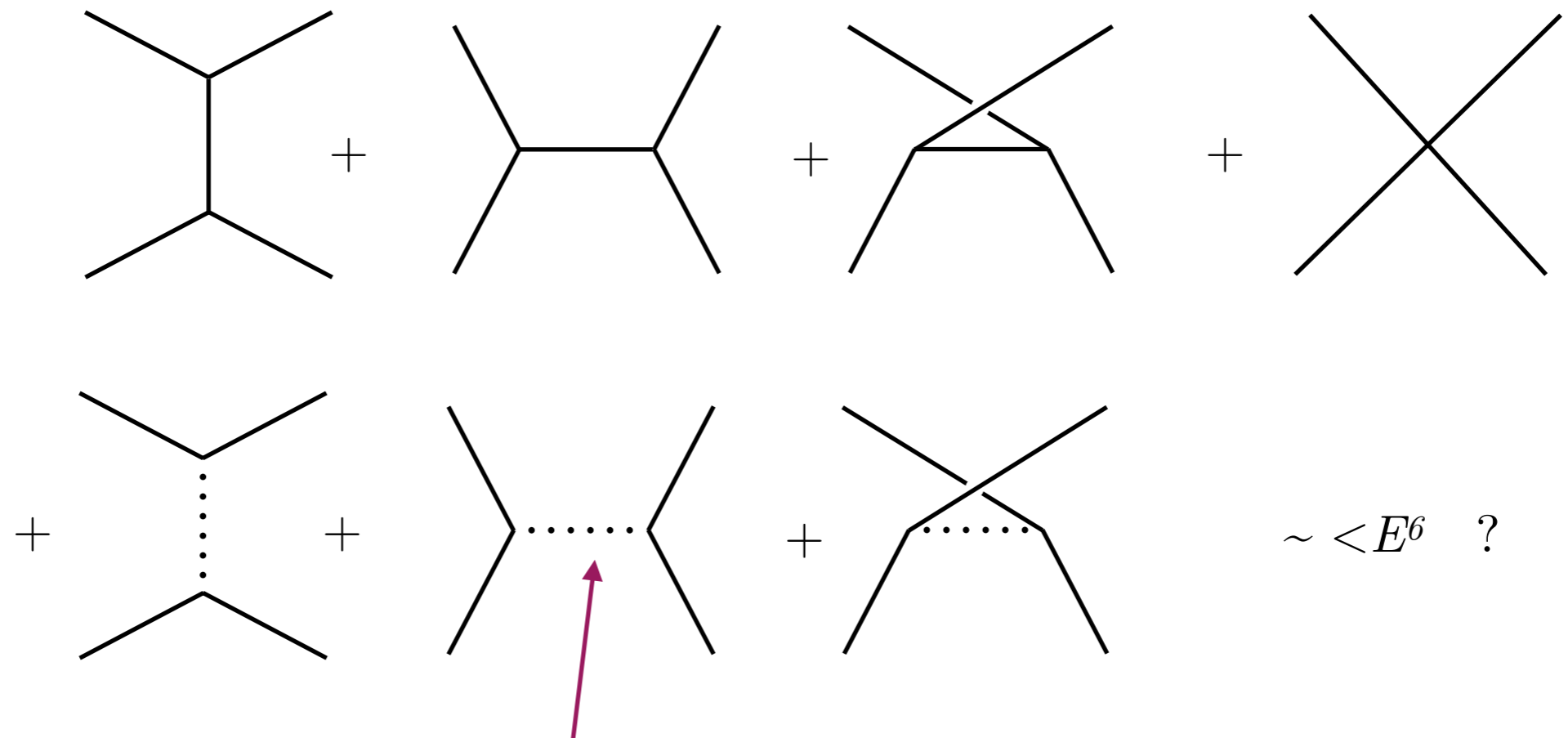
allow additional scalar  $\rightarrow$  can achieve  $E^0$   $\rightarrow$  Higgs mechanism

# Higgs mechanism for gravity?

KH, Rachel Rosen, James Bonifacio (1903.09643)

Best scaling for spin-2:  $E^6$   $\Lambda_3 \sim (M_P m^2)^{1/3}$

allow any number of additional spins  $< 2$



Higgs fields  $\phi, A_\mu$

→ no gravitational Higgs mechanism

# UV completion?

no gravitational Higgs mechanism means:

No tree level UV extension with a finite number of spins  $< 2$

There exist UV extensions with infinite numbers of spin 2's (Kaluza Klein) and infinite numbers of higher spins (string theory)

There may still be strong coupling/loop effects that kick in and UV complete the theory, with or without new degrees of freedom

Are there other, more general UV completion constraints we can impose?

# Dispersion relations

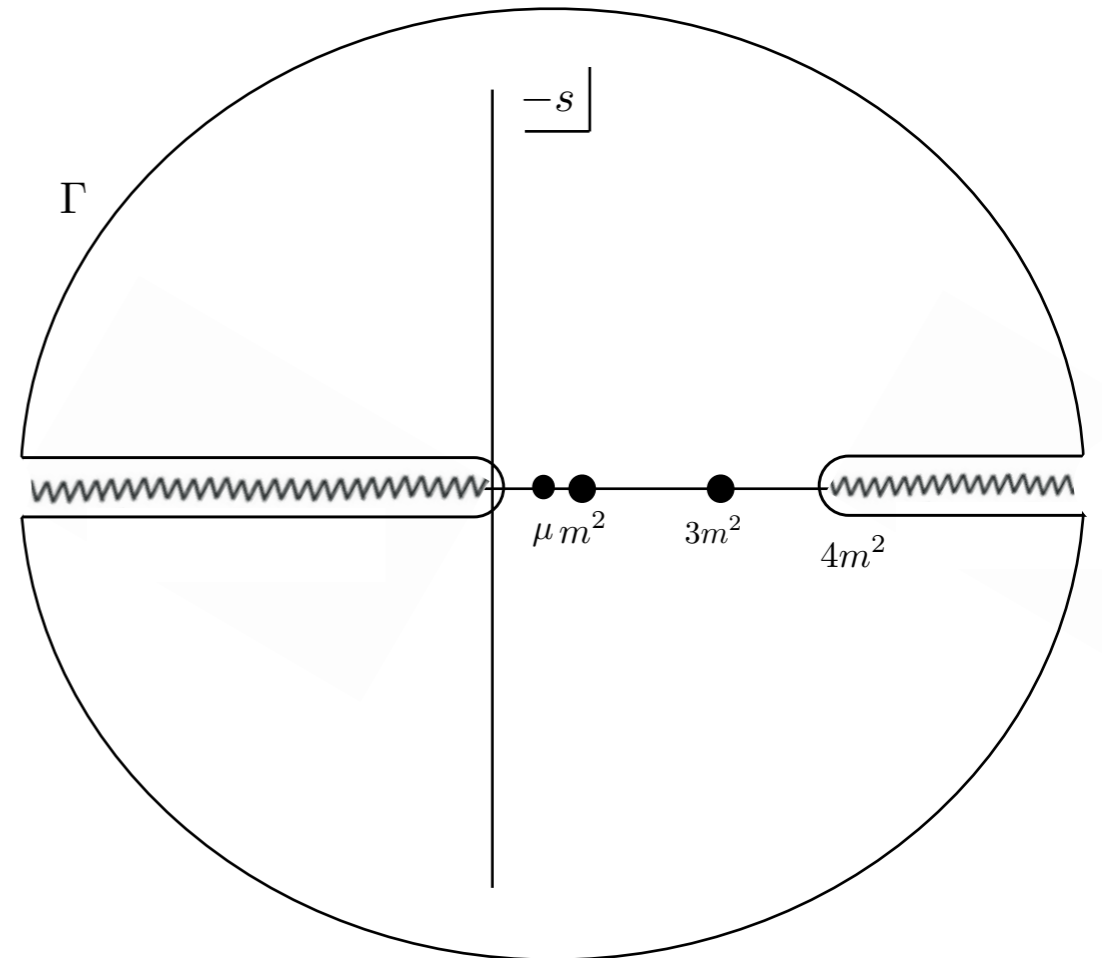
Adams, Arkani-Hamed, Dubovsky, Nicolis, Rattazzi (2006)

Forward amplitude:  $\mathcal{A}(s) \equiv \mathcal{A}(s, t = 0)$

$$f \equiv \frac{1}{2\pi i} \oint_{\Gamma} ds \frac{\mathcal{A}(s)}{(s - \mu^2)^{n+1}}$$
$$= - \left( \operatorname{res}_{s \rightarrow \infty} \frac{\mathcal{A}(s)}{(s - \mu^2)^{n+1}} \right)_{\text{EFT, tree}} > 0$$



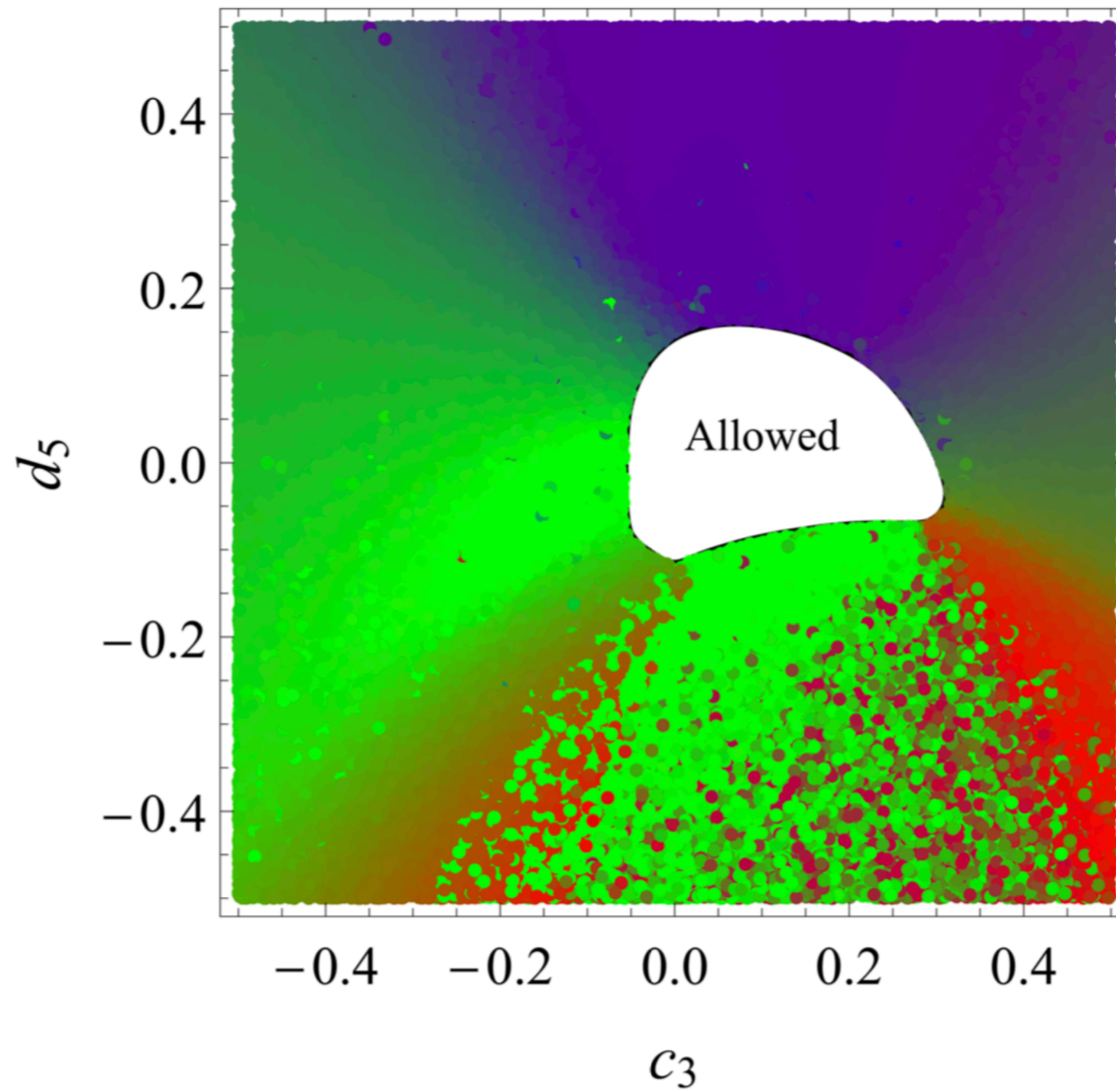
Constrains coefficients of leading terms in tree-level of EFT  $E^{2n}$





# dRGT theory allowed island

Cheung, Remmen (2016)



# Superluminality constraints

Another traditional constraint on EFTs:

Superluminality of small fluctuations on non-trivial Lorentz-violating backgrounds (e.g. Velo-Zwanziger problem)

Less problematic: superluminality in the S-matrix

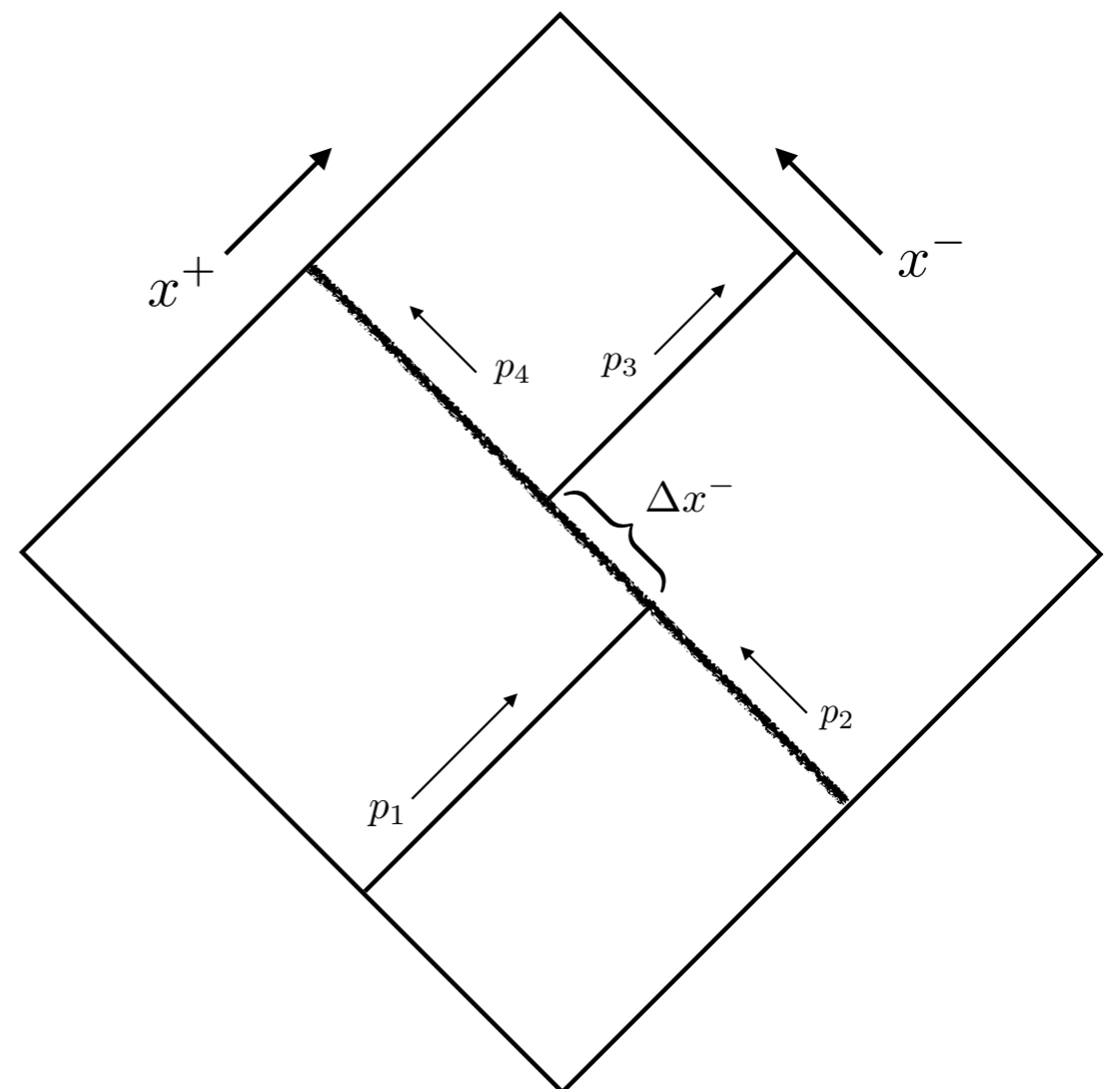
Camanho, Edelstein, Maldacena, Zhiboedov (2016)

Eikonal scattering:

high-energy, fixed impact parameter:

$$s/t \rightarrow \infty$$

Time delay in scattering



# Time delay: eikonal limit

$$\mathcal{A}_{\text{eikonal}} = \begin{array}{cccccc}
 \text{---} & & \text{---} & & \text{---} & & \text{---} & & \dots \\
 | & + & | & | & + & | & | & | & + & \dots \\
 | & & | & & + & | & | & | & & \dots \\
 | & & | & & + & | & | & | & & \dots \\
 \text{---} & & \text{---} & & \text{---} & & \text{---} & & \dots \\
 & + & \diagdown & \diagup & + & \diagdown & \diagup & + & \dots \\
 & & \diagup & \diagdown & + & \diagup & \diagdown & + & \dots \\
 & & \text{---} & & + & \text{---} & & + & \dots \\
 & & & & \vdots & & \vdots & & \dots \\
 & & & & & & & & \dots
 \end{array}$$

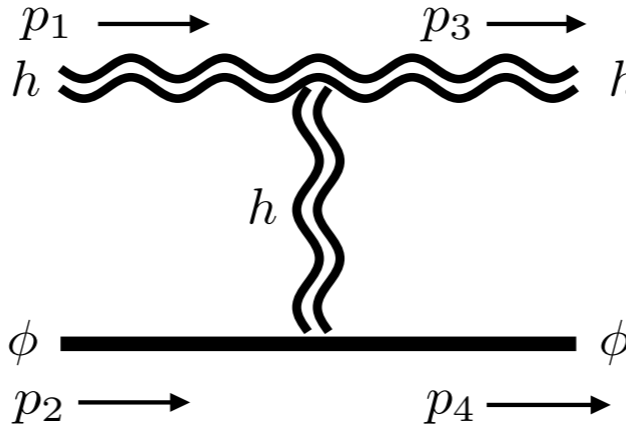
$$= e^{(\text{---})}$$

$$i\mathcal{A}_{\text{eikonal}} = 4p^- p^+ \int d^2\mathbf{b} e^{i\mathbf{b}\cdot\mathbf{q}} \left( e^{i\delta(\mathbf{b})} - 1 \right) \quad , \quad \delta(\mathbf{b}) = \frac{1}{4p^- p^+} \int \frac{d^2\mathbf{q}}{(2\pi)^2} e^{-i\mathbf{b}\cdot\mathbf{q}} \mathcal{A}_0(\mathbf{q})$$

$$\text{Time delay:} \quad \Delta x^- = \frac{1}{p^-} \delta$$

# Massive spin-2 eikonal

Time delay depends only on *on-shell* three point amplitudes:



$$\frac{1}{s} \delta^{\lambda, \lambda'} = \begin{matrix} T \\ T \\ V \\ V \\ S \end{matrix} \begin{pmatrix} \frac{48(b^2 m^2 + 6) K_1(b m) \alpha_5 + K_0(b m) (b^3 (\alpha_2 - \alpha_3 + 18 \alpha_5) m^3 + 144 b \alpha_5 m)}{2 b^3 m^3 \text{Mp}^2 \pi} & 0 & \frac{K_1(b m) (b^2 m^2 (\alpha_3 - 24 \alpha_5) - 96 \alpha_5) - 48 b m K_0(b m) \alpha_5}{4 b^2 m^2 \text{Mp}^2 \pi} & 0 & \frac{K_2(b m) (\alpha_3 - 18 \alpha_5)}{2 \sqrt{3} \text{Mp}^2 \pi} \\ 0 & \frac{K_0(b m) (b^3 m^3 (\alpha_2 - \alpha_3 + 6 \alpha_5) - 144 b m \alpha_5) - 48 (b^2 m^2 + 6) K_1(b m) \alpha_5}{2 b^3 m^3 \text{Mp}^2 \pi} & 0 & \frac{b m K_1(b m) \alpha_3 + 48 K_2(b m) \alpha_5}{4 b m \text{Mp}^2 \pi} & 0 \\ \frac{K_1(b m) (b^2 m^2 (\alpha_3 - 24 \alpha_5) - 96 \alpha_5) - 48 b m K_0(b m) \alpha_5}{4 b^2 m^2 \text{Mp}^2 \pi} & 0 & \frac{K_0(b m) (\alpha_1 - 2 \alpha_2 + 24 \alpha_5) - \frac{K_1(b m) (\alpha_3 - 24 \alpha_5)}{b m}}{4 \text{Mp}^2 \pi} & 0 & \frac{K_1(b m) (2 \alpha_1 - 6 \alpha_2 + \alpha_3 + 24 \alpha_5)}{4 \sqrt{3} \text{Mp}^2 \pi} \\ 0 & \frac{b m K_1(b m) \alpha_3 + 48 K_2(b m) \alpha_5}{4 b m \text{Mp}^2 \pi} & 0 & \frac{K_0(b m) (\alpha_1 - 2 \alpha_2 + \alpha_3) + \frac{K_1(b m) (\alpha_3 - 24 \alpha_5)}{b m}}{4 \text{Mp}^2 \pi} & 0 \\ \frac{K_2(b m) (\alpha_3 - 18 \alpha_5)}{2 \sqrt{3} \text{Mp}^2 \pi} & 0 & \frac{K_1(b m) (2 \alpha_1 - 6 \alpha_2 + \alpha_3 + 24 \alpha_5)}{4 \sqrt{3} \text{Mp}^2 \pi} & 0 & \frac{K_0(b m) (2 \alpha_1 - 5 \alpha_2 + 2 (\alpha_3 + 6 \alpha_5))}{4 \text{Mp}^2 \pi} \end{pmatrix}$$

$$\alpha_1 \leftrightarrow h_{\mu\nu}^3$$

$$\alpha_2 \leftrightarrow \text{Einstein-Hilbert}$$

$$\alpha_3 \leftrightarrow \text{Pseudo-linear}$$

$$\alpha_4 \leftrightarrow \text{Gauss-Bonnet}$$

$$\alpha_5 \leftrightarrow \text{Riemann}^3$$

# Massive spin-2 time delay constraints

KH, Austin Joyce, Rachel A. Rosen (1708.05716)

diagonalize phase  
shift matrix

$$\delta^{\lambda_1 \lambda_2, \lambda_3 \lambda_4}$$



constraints:

$$\alpha_3 = \alpha_5 = 0, \quad \alpha_3 = 3\alpha_2$$

Allowed cubic vertex:

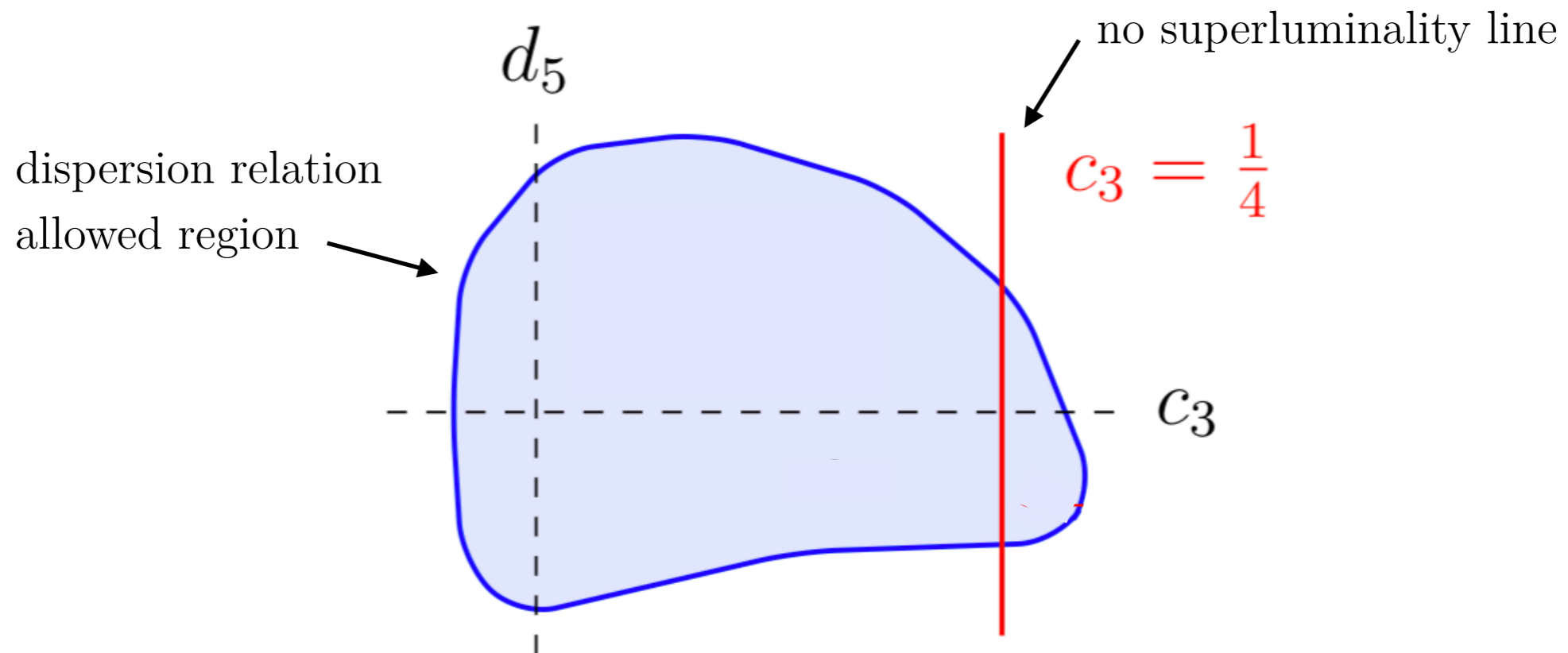
$$\mathcal{L}_3 \propto \frac{1}{2M_{\text{Pl}}} R_{\text{EH}}^{(3)} + \frac{m^2}{2M_{\text{Pl}}} h_{\mu\nu}^3$$

Vertex not of this form  $\rightarrow$  new physics at  $m$

# Massive spin-2 time delay constraints

Allowed cubic vertex:  $\mathcal{L}_3 \propto \frac{1}{2M_{\text{Pl}}} R_{\text{EH}}^{(3)} + \frac{m^2}{2M_{\text{Pl}}} h_{\mu\nu}^3,$

Constraints on dRGT theory:



Similar conclusions for bi-gravity

James Bonifacio, KH, Austin Joyce, Rachel A. Rosen (1712.10020)

# Summary

- Dark energy models are typically effective field theories with a very low UV cutoff (by particle physics standards)
- They parametrize the possible interactions of a certain choice of new long range degrees of freedom
- Central theoretical issue is UV completion: can any of these models be completed into full theories valid at smaller scales?
- An isolated massive spin-2 (e.g. massive gravity) is constrained but not completely ruled out
- Need to explore more exotic possibilities for UV completion